## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Attorney Docket No.: 40296-0028

pplicant:

Dong Su PARK et al.

Confirmation No.: 8064

Appl. No.:

10/608,429

Examiner: Unassigned

Filing Date: June 30, 2003

Art Unit: 2812

Title:

METHOD FOR FORMING CAPACITOR OF SEMICONDUCTOR

**DEVICE** 

Submitted herewith on Form PTO/SB/08A is a listing of documents known to applicants in order to comply with applicants' duty of disclosure pursuant to 37 C.F.R. §1.56 and §1.97. A copy of the listed document is being submitted to comply with the provisions of 37 C.F.R. §1.97-1.99.

The submission of any document herewith, which is not a statutory bar, is not intended as an admission that such document constitutes prior art against the claims of the present application or is considered to be material to patentability as defined in 37 C.F.R. §1.56(b). Applicants do not waive any rights to take any action which would be appropriate to antedate or otherwise remove as a competent reference any document which is determined to be a prima facie prior art reference against the claims of the present application.

## **RELEVANCE**

The foregoing documents came to the Applicants' attention during a search of the corresponding Korean patent application. A copy of the translated Notice of Rejection from the Korean Patent Office is attached.

An English language translation of these documents are not readily available; however, the absence of such translations do not relieve the PTO from its duty to consider the submitted document (37 CFR §1.98 and MPEP §609).

## TIMING/FEE

The instant Information Disclosure Statement is being filed in compliance with 37 CFR §1.97(b) prior to the mailing date of the first official action, therefore, no fee is required in connection with its filing. However, the Commissioner is hereby authorized to charge any deficiency or to credit any overpayment to Deposit Account No. 08-1641.

Applicants respectfully request that the listed document be considered by the Examiner and be made of record in the present application and that an initialed copy of Form PTO/SB/08A be returned in accordance with M.P.E.P. §609.

Respectfully submitted,

9/24/04

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Approved for use through 10/31/2002. OMB 0651-0031

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|                        |                      |        |            | Application Number     | 10/608,429          |  |  |
| INFC                   | PRMATION             | DIS    | CLOSURE    | Filing Date            | June 30, 2003       |  |  |
| STATEMENT BY APPLICANT |                      |        |            | First Named Inventor   | Dong Su PARK et al. |  |  |
|                        |                      |        |            | Group Art Unit         | 2812                |  |  |
|                        | (use as many she     | ets as | necessary) | Examiner Name          | Unassigned          |  |  |
| Sheet                  | 1                    | of     | 1          | Attorney Docket Number | 40296-0028          |  |  |

| U.S. PATENT DOCUMENTS  |                          |  |   |  |   |  |                                       |
|------------------------|--------------------------|--|---|--|---|--|---------------------------------------|
| Examiner<br>Initials * | Cite<br>No. <sup>1</sup> | U.S. Patent Document                     |   |  | Name of Patentee or<br>Applicant<br>of Cited Document | Date of Publication of<br>Cited Document<br>MM-DD-YYYY | Pages, Columns, Lines, Where Relevant |
|                        |                          | Number Kind Code <sup>2</sup> (if known) |   | Passages or Relevant<br>Figures Appear |   |  |                                       |
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| Examiner<br>Initials* | Cite<br>No. <sup>1</sup> | Foreign Patent Document  Offic Number <sup>4</sup> Kind Code <sup>5</sup> (if known) |              |       | Name of Patentee or Applicant of Cited Document | Date of<br>Publication of<br>Cited Document<br>MM-DD-YYYY | Pages, Columns,<br>Lines, Where<br>Relevant<br>Passages or<br>Relevant Figures<br>Appear | T <sub>6</sub> |
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| Examiner  |          | Date       |  |
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EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Unique citation designation number. 2 See attached Kinds of U.S. Patent Documents. 3 Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 4 For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark hee if English language Translation is attached.